



ARIZONA DEPARTMENT OF REAL ESTATE (ADRE)

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DISCIPLINARY ACTIONS DISCLOSURE (LI 214/244)

The Disciplinary Actions Disclosures are required by ADRE to determine qualifications and suitability of the Licensee to hold an Arizona Real Estate License, Employing Broker License, Entity License, Cemetery License or a Membership Camping License, Educational School License, Educational Instructor License or Public Report License.

- ☐ Original Application. Disciplinary Actions Disclosure for immediate past 10 years
☐ Renewal Application. Disciplinary Actions Disclosure for immediate past renewal period.

Failure to answer these questions truthfully may result in disciplinary actions including, but not limited to suspension, revocation and/or civil penalties.
 R4-28-301 (1 and 2), R4-28-303. A.R.S. § 32-2153 (B) (1). A.R.S. § 32-2160.01

Applicant must answer Disciplinary Actions questions pertaining to themselves:

1.	Had a professional or occupational license or registration of ANY kind denied, suspended, restricted, or revoked?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2.	Had an administrative order or ANY other disciplinary action taken against ANY license issued by any local, state, or federal regulatory agency?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
3.	Had an action that was issued by the Department which resulted in an Accelerated Settlement Agreement (ASA)?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
4.	Voluntarily surrendered any license during the course of an investigation or disciplinary proceeding?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
5.	Entered into ANY consent decree, or had an injunction (either temporary or permanent), a suspension, an order or a judgment issued which prohibited or restricted you from engaging in ANY profession or occupation?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
6.	Had any judgment or order against you by ANY court involving fraud, dishonesty, misrepresentation, unfair trade practice, or moral turpitude?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
7.	Had any judgment or order entered against you by ANY court arising out of the conduct of any business in real estate, cemetery property, timeshare intervals, or membership campgrounds?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
8.	Had ANY <u>SUBDIVISION PUBLIC REPORT</u> or <u>REGISTRATION TO SELL</u> real estate, timeshares, cemetery lots, or campground memberships denied or suspended?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
9.	Had ANY real estate recovery fund or similar fund, ever make a payment that was charged against you or against a business for which you were the qualifying party?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
10.	Are there any disciplinary hearings, or other administrative actions pending against ANY professional or occupational licenses you hold in Arizona or in any other state?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
11.	Held, or do you hold ownership interest of 10% or more to exercise control in any business, corporation, partnership, or limited liability company that you would have had to answer "yes" to?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
12.	Been convicted or entered into a plea agreement or a plea of NOLO CONTENDERE (no contest) to ANY felony in Arizona or any other state, whether or not the plea or verdict was set aside, judgment or sentencing was deferred, the conviction was dismissed or expunged, or you have been pardoned?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
13.	Been convicted or entered into a plea agreement or a plea of NOLO CONTENDERE (no contest) to ANY misdemeanor in Arizona or any other state, whether or not the plea or verdict was set aside, judgment or sentencing was deferred, the conviction was dismissed or expunged, or you have been pardoned? NOTE – DUI AND RECKLESS DRIVING ARE MISDEMEANORS AND MUST BE DISCLOSED.. Minor traffic citations do not constitute a misdemeanor or felony offense.	<input type="checkbox"/> YES	<input type="checkbox"/> NO
14.	Are you CURRENTLY in a deferred period, in a diversion program, or convicted of a Class 6 Undesignated Offense, which has not yet been designated as a felony or misdemeanor to the date of this application?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
15.	Are you CURRENTLY incarcerated, paroled, or on probation because of ANY conviction?	<input type="checkbox"/> YES	<input type="checkbox"/> NO

If you answered YES to any questions 1 Thru 14, please answer the following.

1.	Have you previously disclosed the incident to ADRE in writing?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2.	Approximate date of disclosure >	Type of disclosure >	

If you **have not** previously disclosed the incident or action to ADRE in writing you must refer to the DISCLOSURE DOCUMENT CHECKLIST for a list of additional required supporting documentation that must be submitted to ADRE before your renewal application is submitted for processing. **A fingerprint clearance card is required if there are any "YES" disclosures, other than a yes on item number 3.**

ATTESTATION

I attest, under penalty of perjury, under the laws of the State of Arizona, that the foregoing answers and statements given in this application are true and correct. I certify that at the time of the issuance of license I will be 18 years of age or older. I certify that while licensed I will not violate any provisions of the Real Estate Law nor abuse the privileges of a license.

Print Name	Original Signature of Applicant	ADRE LICENSE NUMBER	Date
E-mail Address			